

Statement research organisation



Explanation:

If your organisation is not listed as a potential applicant main or co-applicant in a Call for proposals, and your organisation wishes to submit an application for a Call for Proposals that is also open to research organisations, you can request NWO to assess whether your organisation meets the conditions for research organisations. These conditions are described in point 16 ff) of the Framework R&D&I¹. This also applies to foreign organisations (see also Article 1.1, paragraphs 4 and 5 of the NWO Grant Rules 2024). Please note: the Call for Proposals may contain additional requirements that your organisation must meet.

NWO uses this form for this assessment: the Research Organisation Declaration.

This form contains a number of questions that your organisation must answer. Please refer to the documents² you submitted with the request for assessment. At the bottom of this form, your organisation must complete and sign the Research Organisation Declaration. If your organisation is part of a group of organisations (for example, a foundation with several affiliated research institutes with no legal personality of their own), you must complete and sign this Declaration on the basis of the entire group, and not solely at the level of your organisation unit.

Definition of research organization:

"Research organisation means an entity (such as universities or research institutes, technology transfer agencies, innovation intermediaries, research- oriented physical or virtual collaborative entities), irrespective of its legal status (organised under public or private law) or way of financing, whose primary goal is to independently conduct fundamental research, industrial research or experimental development or to widely disseminate the results of such activities by way of teaching, publication or knowledge transfer. Where such entity also pursues economic activities, the financing, the costs and the revenues of those economic activities must be accounted for separately. Undertakings that can exert a decisive influence upon such an entity, for example in the quality of shareholders or members, may not enjoy a preferential access to the results generated by it."

Please note: the definition used by NWO for research organisation differs from the definition used in the Framework Programmes of the European Union, as NWO must comply with European state aid rules when awarding grant funds.

What is meant by "independent investigation"?

By the term "research" NWO means fundamental research, industrial research and experimental development as defined in the R&D&I Framework Regulation. The collection of existing knowledge and standardisation work are examples of activities that fall outside the concept of research.

The R&D&I Framework Regulation does not contain a definition of the term "independent", but it does say something about what is not considered independent research, namely: contract research for governments and contract research. Do note: the assessment of whether something is independent research by a research organisation therefore does not constitute an assessment of the quality, value or social contribution of the research. Research that is not considered independent research can also be of great value and/or make a major contribution to society. The organization must have one or more of the above types of independent research as its main objective.

¹ Framework for State aid measures for research and development and innovation No 2022/C 414/01 (OJ 2022 / C 414/01).

² Recent extract from the Chamber of Commerce, or its equivalent in the country in which the foreign organisation is established, the deed of incorporation and/or current articles of association of your organisation, the latest available annual accounts, if applicable accompanied by an auditor's report.

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Questions to be answered:

When answering the question, refer to the page numbers of the documents where this information is mentioned. If it is not possible to answer a question (completely), please indicate this in your answer. If you refer to your organisation's website, please include the hyperlink to the relevant web page.

Question	Your answer plus page numbers
Is your organization located in the Netherlands?	
Which percentage of your organisation's workforce (in terms of FTE) is engaged in conducting research and/or widely disseminating the results* of research carried out by your organisation by means of education, publication or other forms of knowledge transfer?	
Which percentage of your organisation's expenditure and income can be attributed to research or the wide dissemination of the results* of research carried out by your organisation through education, publication or other forms of knowledge transfer?	
Which percentage of the aforementioned income comes from research carried out on behalf of governments and companies ("contract research", "commissioned research" or "consultancy") and what percentage comes from research carried out with the help of grants ("own initiated research")?	
Has your organisation received support (e.g. other grants) in the past for research activities under the De minimis Regulation ³ , General Block Exemption Regulation ⁴ or an SGEI instrument ⁵ ?	
Does your organisation have the legal form of a foundation, association or legal entity under public law or its equivalent in the country of establishment in the case of a foreign organisation? In the case of an equivalent: explain why this is an equivalent of these legal forms.	

*Please note: educational activities that disseminate general knowledge that is not related to the organisation's own research activities fall outside of the scope of this definition.

³ European Commission Regulation (EU) 2023/2831 of 13 December 2023.

⁴ European Commission Regulation (EU) No 651/2014 of 17 June 2014.

⁵ For more information, see: Kenniscentrum Europa Decentraal, Handreiking Diensten van Algemeen Economisch Belang 2014, accessible via: <https://europadecentraal.nl/wp-content/uploads/2014/07/Handreiking-DAEB-2014.pdf>.

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Organization name Explains:

- that, in so far as it⁶ carries out economic activities, it keeps separate accounts with regard to the financing, costs and income from those economic activities;
- that, in so far as companies can exercise a decisive influence over it in their capacity as, for example, shareholders or members of the organisation, these companies do not enjoy preferential access to the research results obtained by the organisation;

Signature ⁷

Name

Function

Organization

E-mail address

Address

⁶ See, inter alia, Commission Notice on the notion of State aid as referred to in Article 107(1) of the Treaty on the Functioning of the European Union (2016/C 262/01) and the Framework for State aid measures for research, development and innovation (2022/C 414/01).

⁷ To add a digital signature: hover over the [Signature] field with the mouse and click on the Insert tab > Object in the Text group. Click Use File > Browse. Browse to the file you want, then click Open, and then click OK.